Applicant's or agent's	International application No.
file reference MU03A03/P-WO	• 4

## INDICATIONS RELATING TO DEPOSITED MICROORGANISMO/ OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorgo	
on page 35 , line 10 -	
B. IDENTIFICATION OF DEPOSIT	Further deposits are identified on an additional sheet
Name of depositary institution	
DSMZ-DEUTSCHE SAMMLUNG VON MIKROORGA	ANISMEN UND ZELLKULTUREN GmbH
Address of depositary institution (including postal code and country)	
Mascheroder Weg 1b 38124 Braunschweig	
DE	
Date of deposit	Accession Number
November 14, 2003	DSM ACC2629
C. ADDITIONAL INDICATIONS (leave blank if not applicable)	ole) This information is continued on an additional sheet
D. DESIGNATED STATES FOR WHICH INDICATIONS	ARE MADE (if the indications are not for all designated States)
Europe	
Europa The applicant hereby requests that, until the publicati	on of the mention of the grant of a European patent or
for 20 years from the date of filing if the application is	refused or withdrawn or deemed to be withdrawn, the
biological material shall be made available as provide expert nominated by the requester (Rule 28(4) EPC).	ed in Rule 28(3) EPC only by the issue of a sample to an
expert normalized by the requestion (redic 25(1) 2) est	Continued on additional sheets
E. SEPARATE FURNISHING OF INDICATIONS (leave bla	ank if not applicable)
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession	
Number of Deposit")	Success and (speedy) and game animate by the name of t
For receiving Office use only	For International Bureau use only
This sheet was received with the international application	This sheet was received by the International Bureau on:
Authorized officer	Authorized officer
	S. DESCLOUR SERVICE

Form PCT/RO/134 (July1998; reprint January 2004)

## Australia

The applicant hereby gives notice that the furnishing of a sample of a microorganism shall only be effected prior to the grant of a patent, or to the lapsing, refusal or withdrawal of an application, to a person who is a skilled addressee without an interest in the invention (Regulation 3.25(3) of the Australian Patents Regulation).

#### Canada

The applicant requests that, until either a Canadian patent has bee issued on the basis of an application or the application has been refused, or is abandoned and no longer subject to reinstatement, or is withdrawn, the Commissioner of Patents only authorizes the furnishing of a sample of deposited biological material referred to in the application to an independent expert nominated by the Commissioner.

#### Denmark

The applicant hereby requests that, until the application has been laid open to public inspection (by the Danish Patent and Trademark Office), or has been finally decided upon by the Danish Patent and Trademark Office without having been laid open to public inspection, the furnishing of a sample shall only be effected to an expert in the art. Any request made by a third party for the furnishing of a sample shall indicate the expert to be used. That expert may be any person entered on a list of recognized experts drawn up by the Danish Patent and Trademark Office or any person approved by the applicant in the individual case.

## **Finland**

The applicant hereby requests that, until the publication of the mention of the grant of a patent by the National Board of Patents and Registration or for 20 years from the date of filing if the application has been finally decided upon without resulting in the grant of a patent by the National Board of Patents and Registration, the furnishing of a sample shall only be effected to an expert in the art. Any request made by a third party for the furnishing of a sample shall indicate the expert to be used. That expert may be any person entered on a list of recognized experts drawn up by the National Board of Patents and or any person approved by the applicant in the individual case.

#### Tceland

The applicant hereby requests that, until a patent has been granted or a final decision taken by the Icelandic Patent Office concerning an application which has not resulted in a patent, the furnishing of a sample shall only be effected to an expert in the art. Any request made by a third party for the furnishing of a sample shall indicate the expert to be used. That expert may be any person entered on a list of recognized experts drawn up by Icelandic Patent Office or any person approved by the applicant in the individual case.

#### Norway

The applicant hereby requests that, until the application has been laid open to public inspection (by the Norwegian Patent Office), or has been finally decided upon by the Norwegian Patent Office without having been laid open to public inspection, the furnishing of a sample shall only be effected to an expert in the art. Any request made by a third party for the furnishing of a sample shall indicate the expert to be used. That expert may be any person entered on a list of recognized experts drawn up by Norwegian Patent Office or any person approved by the applicant in the individual case.

## Singapore

The applicant hereby requests that the furnishing of a sample of a microorganism shall only be made available to an expert.

## Slovenia

The applicant hereby requests that the furnishing of samples to a third party is subject to the condition that that party: (a) has the right to demand that a sample of the microorganism be made available; (b) has undertaken to ensure that the applicant does not authorize access to the sample of the deposited microorganism to any third party before the expiry of the prescribed period of validity of the patent.

## Spain

The applicant hereby requests that, until the publication of the mention of the grant of a Spanish patent or for 20 years from the date of filing if the application is refused or withdrawn, the biological material shall be made available as provided in Article 45 SPL only by the issue of a sample to an independent expert.

## Sweden

The applicant hereby requests that, until the application has been laid open to public inspection (by the Swedish Patent Office), or has been finally decided upon by the Swedish Patent Office without having been laid open to public inspection, the furnishing of a sample shall only be effected to an expert in the art. Any request made by a third party for the furnishing of a sample shall indicate the expert to be used. That expert may be any person entered on a list of recognized experts drawn up by the Swedish Patent Office or any person approved by the applicant in the individual case.

#### Switzerland

The applicant hereby requests that the furnishing of samples to a third party is subject to the condition that that party indicates to the depository institution its name and address for the purpose of information of the depositor and undertakes: (a) not to make available the deposited culture or a culture derived from it to a third party; (b) not to use the culture outside the purview of the law; (c) to produce, in case of a dispute, evidence that the obligations under items (a) and (b) have not been violated.

## The former Yugoslav Republic of Macedonia

The applicant hereby requests that the furnishing of samples to a third party is subject to the condition that that party: (a) has a right to demand that a sample of the viable biological or microbiological material be made available; (b) has undertaken to ensure that the applicant does not authorize access to the sample of the deposited viable biological or microbiological material to any third party before the expiry of the prescribed period of validity of the patent.

## **United Kingdom**

The applicant hereby gives notice and requests that a sample should be made available only to an expert.

# BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE



#### INTERNATIONAL FORM

multimmune GmbH c/o Klinikum der Universität Regensburg Franz-Josef-Strauss-Allee 11

93053 Regensburg

VIABILITY STATEMENT issued pursuant to Rule 10.2 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page

I. DEPOSIT	OR	IL IDENTIFICATION OF THE MICROORGANISM
Name: Address:	multimmune GmbH c/o Klinikum der Universität Regensburg Franz-Josef-Strauss-Allee 11 93053 Regensburg	Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY:  DSM ACC2629  Date of the deposit or the transfer!:  2003-11-14
II. VIABIL	ity statement	
The visbilit On that date	y of the microorganism identified under II above was tested on t, the said microorganism was	2003-11-17
( <sub>X</sub>	y viable	
(	) <sup>3</sup> no longer viable	
IV. CONDI	TIONS UNDER WHICH THE VIABILITY TEST HAS BEEN PER	FORMED'
		•
v. intern	ATIONAL DEPOSITARY AUTHORITY	·
V. INTERN	DSMZ-DEUTSCHE SAMMLUNG VON MIKROORGANISMEN UND ZELLKULTUREN GmbH	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s):

Indicate the date of original deposit or, where a new deposit or a transfer bas been made, the most recent relevant date (date of the new deposit or date

of the trans(e).

In the cases referred to in Rule 10.2(a) (ii) and (iii), refer to the most recent viability test.
Mark with a cross the applicable box.
Fill in if the information has been requested and if the results of the test were negative.

#### BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE



#### INTERNATIONAL FORM

multimmune GmbH c/o Klinikum der Universität Regensburg Franz-Josef-Strauss-Allee 11

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page

93053 Regensburg

L IDENTIFICATION OF THE MICROORGANISM		
ldentification reference given by the DEPOSITOR: cm Hsp70.1	Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY:  DSM ACC2629  !	
II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION		
The microorganism identified under L above was accompanied by:  ( ) a scientific description ( ) a proposed taxonomic designation  (Mark with a cross where applicable).		
IIL RECEIPT AND ACCEPTANCE		
This International Depositary Authority accepts the microorganism identified under L above, which was received by it on 2003-11-14 (Date of the original deposit).		
IV. RECEIPT OF REQUEST FOR CONVERSION		
The microorganism identified under I above was received by this International Depositary Authority on (date of original deposit) and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on (date of receipt of request for conversion).		
V. INTERNATIONAL DEPOSITARY AUTHORITY		
Name: DSMZ-DEUTSCHE SAMMLUNG VON MIKROORGANISMEN UND ZELLKULTUREN GmbH  Address: Mascheroder Weg 1b D-38124 Braunschweig	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s):  Date: 2003-11-27	

Form DSMZ-BP/4 (sole page) 12/2001

Where Rule 6.4 (d) applies, such date is the date on which the status of international depositary authority was acquired.

Applicant's or agent's file reference MU03A03/P-WO	International application No.
file reference MI 103A03/P-MO	
141003/100/1 =440	

## INDICATIONS RELATING TO DEPOSITED MICROORGANISM: OR OTHER BIOLOGICAL MATERIAL

(PCT Rule 13bis)

A. The indications made below relate to the deposited microorganism or other biological material referred to in the description on page 35 , line 10 - 16	
B. IDENTIFICATION OF DEPOSIT	Further deposits are identified on an additional sheet
Name of depositary institution  DSMZ-DEUTSCHE SAMMLUNG VON MIKROORGAN	NISMEN UND ZELLKULTUREN GmbH
Address of depositary institution (including postal code and country	y)
Mascheroder Weg 1b 38124 Braunschweig DE	
Date of deposit	Accession Number
November 14, 2003	DSM ACC2630
C. ADDITIONAL INDICATIONS (leave blank if not applicable	This information is continued on an additional sheet
D. DESIGNATED STATES FOR WHICH INDICATIONS ARE MADE (if the indications are not for all designated States)  Europa The applicant hereby requests that, until the publication of the mention of the grant of a European patent or for 20 years from the date of filing if the application is refused or withdrawn or deemed to be withdrawn, the biological material shall be made available as provided in Rule 28(3) EPC only by the issue of a sample to an	
expert nominated by the requester (Rule 28(4) EPC).	Continued on additional sheets
E. SEPARATE FURNISHING OF INDICATIONS (leave blan	ık if not applicable)
The indications listed below will be submitted to the International Bureau later (specify the general nature of the indications e.g., "Accession Number of Deposit")	
Francisco	For International Bureau use only
For receiving Office use only  This sheet was received with the international application	This sheet was received by the International Bureau on:    FEB 2005
Authorized officer	Authorized officer  S DESELECT OF OUT
Form PCT/PO/124 (July 1008: reprint January 2004)	

Form PCT/RO/134 (July1998; reprint January 2004)

## Australia

The applicant hereby gives notice that the furnishing of a sample of a microorganism shall only be effected prior to the grant of a patent, or to the lapsing, refusal or withdrawal of an application, to a person who is a skilled addressee without an interest in the invention (Regulation 3.25(3) of the Australian Patents Regulation).

## Canada

The applicant requests that, until either a Canadian patent has bee issued on the basis of an application or the application has been refused, or is abandoned and no longer subject to reinstatement, or is withdrawn, the Commissioner of Patents only authorizes the furnishing of a sample of deposited biological material referred to in the application to an independent expert nominated by the Commissioner.

## **Denmark**

The applicant hereby requests that, until the application has been laid open to public inspection (by the Danish Patent and Trademark Office), or has been finally decided upon by the Danish Patent and Trademark Office without having been laid open to public inspection, the furnishing of a sample shall only be effected to an expert in the art. Any request made by a third party for the furnishing of a sample shall indicate the expert to be used. That expert may be any person entered on a list of recognized experts drawn up by the Danish Patent and Trademark Office or any person approved by the applicant in the individual case.

## **Finland**

The applicant hereby requests that, until the publication of the mention of the grant of a patent by the National Board of Patents and Registration or for 20 years from the date of filing if the application has been finally decided upon without resulting in the grant of a patent by the National Board of Patents and Registration, the furnishing of a sample shall only be effected to an expert in the art. Any request made by a third party for the furnishing of a sample shall indicate the expert to be used. That expert may be any person entered on a list of recognized experts drawn up by the National Board of Patents and or any person approved by the applicant in the individual case.

## Iceland

The applicant hereby requests that, until a patent has been granted or a final decision taken by the Icelandic Patent Office concerning an application which has not resulted in a patent, the furnishing of a sample shall only be effected to an expert in the art. Any request made by a third party for the furnishing of a sample shall indicate the expert to be used. That expert may be any person entered on a list of recognized experts drawn up by Icelandic Patent Office or any person approved by the applicant in the individual case.

#### Norway

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## Singapore

The applicant hereby requests that the furnishing of a sample of a microorganism shall only be made available to an expert.

#### Slovenia

The applicant hereby requests that the furnishing of samples to a third party is subject to the condition that that party: (a) has the right to demand that a sample of the microorganism be made available; (b) has undertaken to ensure that the applicant does not authorize access to the sample of the deposited microorganism to any third party before the expiry of the prescribed period of validity of the patent.

## Spain

The applicant hereby requests that, until the publication of the mention of the grant of a Spanish patent or for 20 years from the date of filing if the application is refused or withdrawn, the biological material shall be made available as provided in Article 45 SPL only by the issue of a sample to an independent expert.

## Sweden

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## **Switzerland**

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## The former Yugoslav Republic of Macedonia

The applicant hereby requests that the furnishing of samples to a third party is subject to the condition that that party: (a) has a right to demand that a sample of the viable biological or microbiological material be made available; (b) has undertaken to ensure that the applicant does not authorize access to the sample of the deposited viable biological or microbiological material to any third party before the expiry of the prescribed period of validity of the patent.

## United Kingdom

The applicant hereby gives notice and requests that a sample should be made available only to an expert.

#### BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE



#### INTERNATIONAL FORM

multimmune GmbH c/o Klinikum der Universität Regensburg Franz-Josef-Strauss-Allee 11

93053 Regensburg

VIABILITY STATEMENT issued pursuant to Rule 10.2 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page

L DEPOSIT	OR	IL IDENTIFICATION OF THE MICROORGANISM
Name: Address:	multimmune GmbH c/o Klinikum der Universität Regensburg Franz-Josef-Strauss-Allee 11 93053 Regensburg	Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY:  DSM ACC2630  Date of the deposit or the transfer!:  2003-11-14
III. VIABILI	TY STATEMENT	
The viability On that date	of the microorganism identified under II above was tested on 2, the said microorganism was	003-11-17
	y' viable y' no longer viable	
IV. CONDI	tions under which the viability test has been perfo	DRMED*
<u> </u>		
V. INTERN	ATIONAL DEPOSITARY AUTHORITY	
Name:	DSMZ-DEUTSCHE SAMMLUNG VON MIKROORGANISMEN UND ZELLKULTUREN GmbH Mascheroder Weg 1b	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s):
Addiess:	D-38124 Braunschweig	Date: 2003-11-27

Indicate the date of original deposit or, where a new deposit or a transfer has been made, the most recent relevant date (date of the new deposit or date

Form DSMZ-BP/9 (sole page) 12/2001

of the transfer).

In the cases referred to in Rule 10.2(a) (ii) and (iii), refer to the most recent viability test.

Mark with a cross the applicable box.

Fill in if the information has been requested and if the results of the test were negative.

## BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE



#### INTERNATIONAL FORM

multimmune GmbH c/o Klinikum der Universität Regensburg Franz-Josef-Strauss-Allee 11

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page

93053 Regensburg

L IDENTIFICATION OF THE MICROORGANISM		
Identification reference given by the DEPOSITOR:  cm Hsp70.2	Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY:  DSM ACC2630	
II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION		
The microorganism identified under L above was accompanied by:  ( ) a scientific description ( ) a proposed exconomic designation  (Mark with a cross where applicable).		
III. RECEIPT AND ACCEPTANCE		
This International Depositary Authority accepts the microorganism identified under L above, which was received by it on 2003-11-14 (Date of the original deposit).		
IV. RECEIPT OF REQUEST FOR CONVERSION		
The microarganism identified under I above was received by this International Depositzry Authority on (date of original deposit) and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on (date of receipt of request for conversion).		
V. INTERNATIONAL DEPOSITARY AUTHORITY		
Name: DSMZ-DEUTSCHE SAMMLUNG VON MIKROORGANISMEN UND ZELLKULTUREN GmbH  Address: Maschcroder Weg lb D-38124 Braunschweig	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s):  Date: 2003-11-27	

Form DSMZ-BP/4 (sole page) 12/2001

Where Rule 6.4 (d) applies, such date is the date on which the status of international depositary authority was acquired.